PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

The Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number.

				Complete if Known		
5	Substitute for form 1449A/PTO			Application Number	10/772,054	
	INFORMATION DISC	CLOS	URE	Filing Date	February 3, 2004	
	STATEMENT BY AP	PLIC	ANT	First Named Inventor	Kulp	
				Art Unit		
•	(Use as many sheets as ne	cessary)		Examiner Name		
Sheet	1	of	2	Attorney Docket Number	03040US	

	U.S. PATENT DOCUMENTS								
5	0"-	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
Examine Initials*	er Cite	Number - Kind Code ^{2 (M known)}	MM-DD-YYYY						
		4.511.605 A	04-16-1985	McCartney					
1		5,114,982 A	05-19-1992	Mendelsohn et al.					
		5,260,343 A	11-09-1993	Harrison et al.					
		5,462,797 A	10-31-1995	Williams et al.					
		5,578,362 A	11-26-1996	Reinhardt et al.					
		5,688,860 A	11-18-1997	Croft					
		5,821,316 A	10-13-1998	Quay et al.					
		6,020,387 A	02-01-2000	Downey et al.					
		6,095,902 A	08-01-2000	Reinhardt					
		6,239,188 B1	05-29-2001	Kihara et al.					
		6,362,107 B1	03-26-2002	Shiro et al.					
		6,454,634 B1	09-24-2002	James et al.					
		6,477,926 B1	11-12-2002	Swisher et al.					
		6,627,671 B1	09-30-2003	Kihara et al.					
•		2002/0049297 A1	04-25-2002	Suzuki et al.					
		2003/0069321 A1	04-10-2003	Lin et al.					
		2003/0109209 A1	06-12-2003	Hishiki					

	-	-	FOREIG	ON PATENT DOC	UMENTS		
		Cite No. 1 Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰
			EP 0 476 822 B1	03-05-1997	Minnesota Mining and Manufacturing Co.		Γ
		1	EP 0 639 614 A1	02-22-1995	Miles Inc.		Ī
			EP 0 648 237 B1	11-05-1997	Minnesota Mining and Manufacturing Co.		
	V		JP 2002-194047	07-10-2002	Toyo Tire & Rubber Co. Ltd.; Toyobo Co. Ltd.	Abstract	,
	1		JP 2002-371121	12-26-2002	Toyobo Co. Ltd. Toyo Tire & Rubber Co. Ltd.	Abstract	,
			WO 96/00754 A1	01-11-1996	Minnesota Mining and Manufacturing Co.		
			WO 99/15578 A1	04-01-1999	Caschem, Inc.		Γ
M	1/		WO 01/91971 A1	12-06-2001	Rodel Holdings, Inc.		

	 · · · · · · · · · · · · · · · · · · ·		
Examiner		Date	YAE
Signature	7	Considered	7100

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. There Office that issued the document, by the two-letter code (WiPO Standard ST.3). For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WiPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is a statiched.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/08b (08-03)

Approved for use through 08/30/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitu	ite for form 1449B/PTO	_		Complete if Known		
0003	NO 101 101111 1440011 10			Application Number	40/002,130 10/772.054	
INF	ORMATION DISC	a osi	IRF	Filing Date	10/9/2003	
STATEMENT BY APPLICANT				First Named Inventor	James et al.	
				Art Unit	3723 ·	
	(Use as many sheets as nec	essary)		Examiner Name		
Sheet	2	of	2	Attorney Docket Number	-03022US	

NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Initials* No. and/or country where published.							
M		KULP et al.; "High-Performance CMP Pads for Cu/Low K/Ultra-Low K Wafer Processing"; CMP-MIC Catalog No. 03 IMIC-800P, pp. 410-413, CMP-MIC Conference 2003, Februray 19-21, 2003, Marina Del Ray, CA.						

Examiner Signature	C	Date Considered	705

Signature | Considered | Consid